



Attorney's Product No. DVL-003PAT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

GP 1616.5  
RECEIVED  
PATENT

JUL 09 2001

TECH CENTER 1600/2900

In re application of: Donald V. Lightcap, Jr. et al.

Serial No.: 09/128,753

Group No.: 1616

Filed: August 4, 1998

Examiner: Alton Pryor

For: COMPOSITION, METHOD, AND APPARATUS FOR PROTECTING PLANTS FROM  
INJURY CAUSED BY FROST OR FREEZING TEMPERATURES

Assistant Commissioner for Patents  
Washington, D.C. 20231

AMENDMENT TRANSMITTAL

# 13  
AKK  
7/12/01

1. Transmitted herewith is an amendment for this application.

STATUS

2. Applicant is

X a small entity. A verified statement:

\_\_\_ is attached.

X was already filed.

\_\_\_ other than a small entity.

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

FACSIMILE

X I deposited with the United States Postal  
Service with sufficient postage as first class  
mail in an envelope addressed to the  
Assistant Commissioner for Patents,  
Washington, D.C. 20231.

\_\_\_ Transmitted by facsimile to the Patent and Trademark Office

Mark F. Smith  
Signature

Mark F. Smith

(Type or print name of person certifying)

Date: June 25, 2001

07/05/2001 57EUDIE1 00000066 09128753 445.00 0P  
01 FC:2.7

## EXTENSION OF TERM

NOTE- "Extension Of Time in Patent Cases (Supplement Amendments) - if a timely and complete response has been filed after a Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.

*If a timely response has been filed after a Final Office Action; an extension of time is required to permit filing and/or entry of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely filed response placed the application in condition for allowance. Of course if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run." Notice of December 10, 1985 (1061 O.G. 34-5).*

NOTE- See 37 CFR 1.645 for extensions of time in interference proceedings, and 37 CFR 1.550(c) for extensions of time in reexamination proceedings.

3. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.

*(complete (a) or (b), as applicable)*

(a) \_\_\_ Applicant petitions for an extension of time under 37 CFR 1.136  
(fees: 37 CFR 1.17(a)-(d) for the total number of months checked below:

Extension (months)	Fee for other than <u>small entity</u>	Fee for <u>small entity</u>
___ one month	\$ 110.00	\$ 55.00
___ two months	\$ 380.00	\$190.00
<u>X</u> three months	\$ 870.00	\$435.00
___ four months	\$1,360.00	\$680.00

**Fee \$ 435.00**

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next term, if applicable)

\_\_\_ An extension for \_\_\_\_\_ months has already been secured and the fee paid therefore of \$\_\_\_\_\_  
\_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ \_\_\_\_\_

**OR**

(b) \_\_\_ Applicant believes that no extension of term is required. However this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

## FEE FOR CLAIMS

4. The fee for claims (37 CFR 1.16(b)-(d)) has been calculated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY		OTHER THAN A SMALL ENTITY	
CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO PREVIOUSLY PAID FOR		PRESENT EXTRA	RATE	ADDIT. FEE	OR RATE	ADDIT. FEE
TOTAL *	MINUS **			=	x9=	\$	X18=	\$
INDEP *	MINUS ***			=	X39=	\$	X78=	\$
___ FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+130=	\$	+260=	\$
					TOTAL ADDIT. FEE	\$	OR	TOTAL ADDIT. FEE \$

\* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No Previously Paid for" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 3, enter "3"

The "Highest No. Previously Paid for" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number or claims originally filed.

**WARNING** "After final rejection or action (Section 1.113) amendments may be made canceling claims or complying with any requirement of form which has been made.-" 37 CFR 1.116(a) (emphasis added).

(complete (c) or (d), as applicable)

(c)   X   No additional fee for claims is required

**OR**

(d) \_\_\_ Total additional fee for claims required \$ \_\_\_\_\_

## FEE PAYMENT

5.   X   Attached is a check in the sum of \$ 435.00

\_\_\_ Charge Account No. \_\_\_\_\_  
the sum of \$ \_\_\_\_\_

A duplicate of this transmittal is attached.

## FEE DEFICIENCY

*NOTE: If there is a fee deficiency and there is no authorization to charge an account additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum six-month period has expired before the deficiency is noted and corrected the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the depose account for any fee deficiency should be checked. See the Notice of April 7 1-86, (1065 O.G. 31-33).*

6. \_\_\_\_ If any additional extension and/or fee is required, charge Account No. \_\_\_\_.

AND/OR

\_\_\_\_ If any additional fee for claims is required, charge Account No. \_\_\_\_.

Reg. No.: 32,437

\_\_\_\_\_  
SIGNATURE OF ATTORNEY

Tel. No.: 513-229-0383

Mark F. Smith  
(type or print name of attorney)

Smith, Gutttag & Bolin Ltd  
P.O. Address

7577 Central Park Boulevard, Suite 302

Cincinnati, Ohio 45242